

<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b))</small> </div> <div style="border: 1px solid black; padding: 2px;"> Attorney Docket No. 990852A Total Pages </div> </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> First Named Inventor or Application Identifier </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> Nobuhiko HAYASHI Takashi KANO </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> Express Mail Label No. </div>		<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> APPLICATION ELEMENTS FOR: Semiconductor Device and Method of Fabricating the Same and Method of Forming Nitride Based Semiconductor Layer </div> <div style="width: 50%;"> ADDRESS TO: Commissioner for Patents BOX PATENT APPLICATIONS Washington, D.C. 20231 </div> </div>	
		<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing) </div> <div style="width: 50%;"></div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> 2. <input checked="" type="checkbox"/> Specification, Claims, Abstract </div> <div style="width: 50%;">Total Pages [54]</div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) </div> <div style="width: 50%;">Total Sheets [15]</div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> 4. <input checked="" type="checkbox"/> Oath or Declaration </div> <div style="width: 50%;">Total Pages [2]</div> </div> <div style="margin-top: 10px;"> a. <input type="checkbox"/> Newly executed (original) </div> <div style="margin-top: 10px;"> b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed). </div> <div style="margin-top: 10px;"> i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> <small>Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> </div> <div style="margin-top: 10px;"> 5. <input checked="" type="checkbox"/> Incorporation by reference (useable if box 4b is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small> </div> <div style="margin-top: 10px;"> 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) </div> <div style="margin-top: 10px;"> 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) </div> <div style="margin-top: 10px;"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement Verifying identity of above copies </div>	
		ACCOMPANYING APPLICATION PARTS	
8. <input type="checkbox"/> Assignment to SANYO ELECTRIC CO., LTD., Osaka-fu, Japan in the prior Application Serial No. <u>09/361,246</u> filed on <u>July 27, 1999</u> has been recorded at Reel <u>010137</u> , Frame <u>0704</u> on <u>July 27, 1999</u> . Please publish the assignee data with the application.			
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney			

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. 990852A

First Named Inventor or Application Identifier

Nobuhiko HAYASHI
Takashi KANO

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement with PTO-Form 1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)

a. Priority of **Japanese** application no. **10-213970** filed on **July 29, 1998** is claimed under 35 USC 119.
The certified copy has been filed in prior application Serial No. 09/361,246.
(For Continuing Applications, if applicable).

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

a. ☒ Please amend the specification by inserting after the title: -- This application is a division of prior application serial no. 09/361,246 filed July 27, 1999--.

b. ☒ Cancel in this application original claims **4-11, 18-21** of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	9 - 20	0	x \$18.00	
Independent Claims	3 - 3	0	x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$710.00

**UTILITY PATENT
APPLICATION TRANSMITTAL**

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under 37 CFR 1.53(b))

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Takashi KANO

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[XX] A check in the amount of \$ 710.00 is enclosed to cover the filing fee.

[] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Reg. No. 32,878

Signature

Date: September 19, 2001

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